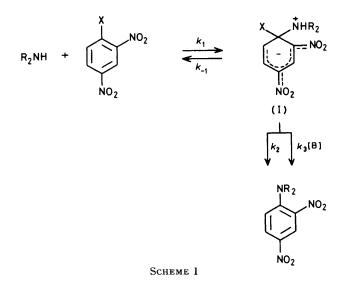
# Base Catalysis of Aromatic Nucleophilic Substitution Reactions in Aprotic and Dipolar Aprotic Solvents

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For base-catalysed aromatic nucleophilic substitution reactions in benzene, catalysis by added base is observed irrespective of whether the catalyst is a stronger or a weaker base than the nucleophile. In acetonitrile, catalysis is only observed if the catalyst has either approximately the same strength or is a stronger base than the nucleophile. These observations are shown to indicate a difference in the mechanism of catalysis in the two solvents.

THE accepted mechanism for aromatic bimolecular nucleophilic substitution reactions when either primary or secondary amines are the nucleophiles is given in Scheme 1. Application of the steady state hypothesis

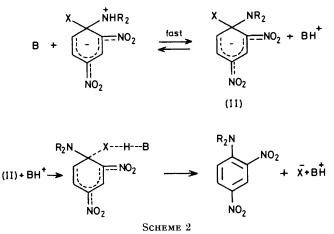


gives equation (1) where  $k_{\rm A}$  is the observed second-order

$$k_{\rm A} = \frac{k_1(k_2 + k_3[{\rm B}])}{(k_{-1} + k_2 + k_3[{\rm B}])} \tag{1}$$

rate constant. In principle, either the formation of (I) or its decomposition to products can be rate determining and the two can be distinguished by observing whether the reaction is base catalysed or not. If  $k_{-1} \ll k_2 + k_3$ [B], then  $k_A = k_1$  and the reaction is not base catalysed. If this condition does not hold, the decomposition of the intermediate to products is rate limiting and the reaction is base catalysed. The catalyst, B, can be either the nucleophile or an added base, and in the latter case when the reaction is carried out in nonaqueous solvents, the two most commonly used are pyridine and 1,4-diazabicyclo[2.2.2]octane (DABCO). A measure of the catalytic power of various bases in a given reaction is given by the magnitude of the  $k_3/k_2$  ratio.

The mechanism of the base-catalysed path in dipolar aprotic solvents is believed to be that proposed by Bunnett and Davies<sup>1</sup> and shown in Scheme 2. In



this mechanism, the first formed intermediate is rapidly and reversibly converted into its conjugate base from which the slow electrophilically catalysed expulsion of the leaving group takes place. Experimental evidence in support of this mechanism has been given by Orvik and Bunnett<sup>2</sup> and by Ayediran *et al.*<sup>3</sup>

In solvents of low dielectric constant such as hydrocarbons it is generally believed that this step takes place by a different mechanism. Capon and Rees <sup>4</sup> proposed the cyclic transition state given in Figure 1 for reactions

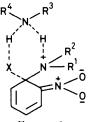


FIGURE 1

in benzene and more recently 5 we have suggested a modification of the Bunnett mechanism to take into account the strength and range of electrostatic forces in this type of solvent. To the best of our knowledge there exists no experimental evidence for this belief, the original postulate appears to be based on the assumed need for electrophilic catalysis to assist the departure of poor leaving groups and at the same time, because of the inability of these solvents to stabilise ionic species, avoid the charged entities demanded by the Bunnett mechanism. We now present evidence for a difference in behaviour of catalysts in benzene and acetonitrile which demands either a different mechanism, or at least a modification of the mechanism which operates in dipolar aprotic solvents.

When the solvent is benzene the following results have been reported. Bernasconi and Zollinger have investigated the reactions of 1-fluoro-2,4-dinitrobenzene with a series of nucleophiles. When the nucleophile was piperidine <sup>6</sup> they observed strong catalysis by piperidine, moderate catalysis by DABCO, and weak catalysis by pyridine. The piperidine and DABCO catalysis was interpreted as true base catalysis while that of pyridine was believed to be predominantly a medium effect. Stronger catalysis by pyridine was observed with other nucleophiles, the values of  $k_3/k_2$  for these and other bases for these reactions are given in Table 1. Pietra <sup>7</sup> has

## TABLE 1

Values of  $k_3/k_2^{a}$  for the reactions of 1-fluoro-2,4-dinitrobenzene with various nucleophiles in benzene at 25 °C

	Catalyst				
Nucleophile	Nucleophile	DABCO	Pyridine		
<i>p</i> -Anisidine <sup><i>b</i></sup>	> 22	> 5 500	> 975		
Benzylamine <sup>e</sup>	320	<b>24.4</b>	93		
N-Methylbenzylamine <sup>c</sup>	595	630	16		
Morpholine <sup>d</sup>	982	444	58		
n-Butylamine <sup>e</sup>	210		183		

<sup>e</sup> Values calculated by C. F. Bernasconi, 'MTP International Reviews of Science,' Butterworths, London, 1973, Series 1, vol. 3. <sup>b</sup> Ref. 8. <sup>e</sup> C. F. Bernasconi and Hch. Zollinger, *Helv. Chim. Acta*, 1967, **50**, 3. Part of the catalysis by pyridine is believed to be due to a medium effect. <sup>d</sup> G. Becker, C. F. Bernasconi, and Hch. Zollinger, *Helv. Chim. Acta*, 1967, **50**, 10. <sup>e</sup> F. Pietra and D. Vitali, *J. Chem. Soc. B*, 1968, 1200.

shown that the rate of the third-order reaction between 2,4-dinitrophenyl phenyl ether and piperidine (first order in substrate, second order in amine) is increased by the addition of pyridine. The  $pK_a$  values of some amines are assembled in Table 2, and it is clear that in

#### TABLE 2

The  $pK_a$  of some amines in water and acetonitrile

Атіпе	р <i>Ка<sup>а</sup></i> (Н <sub>2</sub> О)	$pK_{a}^{b}$ (MeCN)
Aniline	4.60	10.56
<i>p</i> -Anisidine	5.3	
Benzylamine	9.37	16.76
N-Methylbenzylamine	9.58	
Morpholine	8.31	16.6
Piperidine	11.22	18.92
n-Butylamine	10.59	18.26
Pyridine	5.18	12.3
DABCO	8.60	18.3
4-Methylpyridine	6.08	13.65

<sup>a</sup> Data taken from D. D. Perrin, 'Dissociation Constants of Organic Bases in Aqueous Solution,' IUPAC-Butterworths, London, 1965. <sup>b</sup> Data taken from J. F. Coetzee, *Prog. Phys.* Org. Chem., 1967, 4, 45.

benzene, base catalysis occurs irrespective of whether the base strength in water of the catalyst is greater or less than that of the nucleophile. Additionally, in order to extend the number of direct comparisons of reactions in benzene and acetonitrile, we have studied the addition of DABCO and pyridine to the reaction of 2,4-dinitrophenyl phenyl ether with morpholine in benzene. The results are given in Table 3 and illustrated graphically in Figure 2. Again catalysis is observed irrespective of the relative basicities in water of the catalyst and nucleophile. Bernasconi and Zollinger<sup>8</sup>

### TABLE 3

- Rate constants  $(1 \text{ mol}^{-1} \text{ s}^{-1})$  for the reactions of 2,4-dinitrophenyl phenyl ether with morpholine and piperidine, 1-fluoro-2,4-dinitrobenzene with morpholine and aniline in acetonitrile, and of 2,4-dinitrophenyl phenyl ether with morpholine in benzene in the presence of added bases at 30.0 °C
- 1 Reaction of 2,4-dinitrophenyl phenyl ether " with morpholine (Morph)

(11101 Pit)				
A No added base	$k_{3}/k_{2} = 189.$	9		
10²{Morph}/м	4.08	8.00	12.03	16.01
104k <sub>A</sub>	3.35	6.63	9.45	12.6
10²{Morph}/м	20.90	<b>24.94</b>		
104k <sub>A</sub>	15.4	19.5		
B In the presence	of pyridine	(Py)		
10²{Morph}/м	12.03	12.03	12.07	12.08
10²{Ру}/м		5.63	11.36	20.34
$10^4 \dot{k}_{\rm A}$	9.45	9.76	9.51	9.92
10²{Morph}/м	12.22			
10²{Ру}/́м	<b>40.72</b>			
$10^{4}k_{\rm A}$	9.61			
C In the presence	of 4-methyl	pyridine (4	-Pic)	
10²{Morph}/м	12.03	12.01	12.07	12.05
10²{4-Pic}/м		5.73	10.18	21.59
$10^{4}k_{\rm A}$	9.45	9.88	10.5	9.66
10²{Morph}/м	12.02			
10²{4-Pic}/м	<b>40.75</b>			
$10^4 k_A$	10.9			
D In the presence	of DABCO			
10²{Morph}/м	12.03	12.13	12.15	12.12
10 <sup>2</sup> {DABCO}/м		2.57	5.23	10.44
10 <sup>4</sup> k <sub>A</sub>	9.45	9.92	11.0	12.2
10²{Morph}/м	12.14	12.12	12.22	12.03
10²{DABCO}/м	15.13	20.35	<b>24.03</b>	30.41
10 <sup>4</sup> k <sub>A</sub>	13.1	15.1	15.6	16.0
<sup>a</sup> Initial	l {Ether} 3.0	133.897	× 10⁻⁴м.	

2 Reaction of 2,4-dinitrophenyl phenyl ether • with piperidine (PIP)

A In the presence of	pyridine	(Py) at [P	IP] 2.11 ×	10 <sup>-2</sup> м
10²{Py}/м		5.31	10.89	24.34
10 <sup>2</sup> k	1.56	1.57	1.54	1.57
10²{Py}/м	40.54			
$10^{2}k_{A}$	1.61			
B In the presence of	pyridine	(Py) at [P	IP] 8.62 $\times$	10 <sup>-з</sup> м
10²{Ру}/м		5.36	11.3	20.5
10 <sup>3</sup> k <sub>A</sub>	6.90	7.01	6.93	6.71
10²{Ру}/м	<b>42.5</b>			
$10^{3}k_{\rm A}$	6.84			
C In the presence of	DARCO	at [DID] 9	$11 \sim 10^{-2}$	
•	DADCO			
10 <sup>2</sup> {DABCO}/м		2.02	9.53	15.42
$10^2 \dot{k}_A$	1.56	1.55	1.55	1.50
D In the presence of	DABCO	at [PIP] 8	$.61 \times 10^{-8}$ M	4
10 <sup>2</sup> {DABCO}/м		2.46	5.34	10.53
10 <sup>3</sup> k <sub>A</sub>	7.01	19.0	22.3	30.8
10 <sup>2</sup> {DABCO}/м	15.26	20.08	24.86	
10 <sup>3</sup> k <sub>A</sub>	34.2	36.0	36.6	
۵ [Eth	er] 3.013-	$-3.23 \times 10^{-3}$	0⁻⁴м.	

Table	3	(continued)
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3 Reaction of 1-fluoro-2,4-dinitrobenzene <sup>a</sup> (Fluoro) with aniline A In the presence of pyridine (Py) at [Aniline], 0.10M

A in the presence	or pyriume	(ry) at [A	mme <sup>j0</sup> o.1	0M
10²{Ру}/м		7.42	10.40	22.93
104k <sup>*</sup>	2.01	5.26	7.14	14.6
10²{Py}/м	35.86	46.78	67.33	87.65
$10^{4}k_{A}$	<b>20.1</b>	25.5	35.1	44.7
10²{Py}/м	109.0			
104k <sub>A</sub>	53.4			
B In the presence	of DABCO	at [Aniline	е] <sub>0</sub> 0.10м	
10 <sup>2</sup> {DABCO}/м		6.02	11.42	15.54
10 <sup>4</sup> k <sub>A</sub>	2.01	13.8	24.5	31.0
10 <sup>2</sup> {DABCO}/м	20.85	24.63	30.82	
10 <sup>4</sup> k <sub>A</sub>	38.1	47.1	49.9	
4 T	:4:-1 (TEl	1 9 96 1 1	0-4.	

<sup>*a*</sup> Initial [Fluoro]  $3.26 \times 10^{-4}$  M.

4 Reaction of 1-fluoro-2,4-dinitrobenzene <sup>a</sup> with morpholine in acetonitrile

A Reaction in $5.33 \times 10^{-4}$ M	the	presence	of	pyridine	e (Py)	at	[Morph]
104{Ру}/м			ł	5.76	20.6		41.2
10k		6.85	. (	6.65	6.96		6.66
10 <del>4</del> {Ру}/м		82.3					
10k		6.80					

B Reaction in the presence of DABCO at [Morph] 5.33  $\times$ 10<sup>-4</sup>м 104{DABCO}/M 5.6211.6 22.56.85 7.90 8.38 8.95 10k 104{DABCO}/M 55.3100 39.310kA 9.61 9.89 10.8

<sup>o</sup> [Fluoro]<sub>0</sub>  $4.80 \times 10^{-5}$  m in all runs.

5 Reaction of 2,4-dinitrophenyl phenyl ether <sup>a</sup> with morpholine in benzene

A With morpholin	e alone			
10{Morph}/м	1.03	2.01	3.14	3.99
10 <sup>5</sup> k <sub>A</sub>	2.32	4.63	8.29	10.2
10{Morph}/м	5.03	6.03		
10 <sup>5</sup> k <sub>A</sub>	14.0	19.3		
B In the presence	of pyridine	(Py) at [M	orph] 9.14	× 10⁻²м
10{Ру}/м		1.23	2.89	4.17
10 <sup>5</sup> k <sub>A</sub>	1.92	2.42	2.91	3.35
10{Py}/м	6.49			
$10^{\delta}k_{\rm A}$	4.49			
C In the presence	of DABCO	at [Morph]	9.14 × 10	<sup>-2</sup> M
10 <sup>2</sup> {DABCO}/м		9.74	11.2	15.4
10⁵k̀₄	1.92	2.58	3.29	3.83
10 <sup>2</sup> {DABCO}/м	23.4	34.7		
10⁵k̀₄	4.74	5.18		
۴ [Eth	er] $_0$ 2.96 $ imes$	10 <sup>-4</sup> м in al	l cases.	

obtained a similar upward curving dependence of the rate constant on the nucleophile concentration, but linear dependence on DABCO and pyridine concentrations for the reaction of 1-fluoro-2,4-dinitrobenzene with p-anisidine. They explained the upward curvature as due to a medium effect superposed on base catalysis by the nucleophile.\*

\* An alternative explanation could be that if extensive homoconjugation occurs between the nucleophile N and its conjugate acid  $\dot{N}H$ , N +  $\dot{N}H \rightleftharpoons N\dot{H}N$ , then at least part of the electrophilic catalysis in Scheme 2 could be due to the homoconjugate. In a system for which  $k_{-1} \gg k_2 + k_3[B]$  and  $k_{-1} \gg k_2 + k_1[B]^*$ , where  $k_{1_3}$  is the rate constant for electrophilic catalysis by the homoconjugate, this would give curvature as in Figure 2. In a system for which  $k_{-1} \sim k_2 + k_3[B]$ , superposition of this effect could give rise to curvatures ranging from that of Figure 2, through a straight line to that depicted in Figure 3. We have investigated the effect of the addition of pyridine and DABCO on a series of reactions in acetonitrile which are known  $5,9^{-11}$  to be base catalysed in this solvent and the results are assembled in Table 3. To enable comparison with previous work, the reaction of 2,4-dinitrophenyl phenyl ether with morpholine was studied as a function of morpholine concentration and the value of 190 obtained for  $k_3/k_2$  is in good agreement with the literature value <sup>5</sup> of 185.

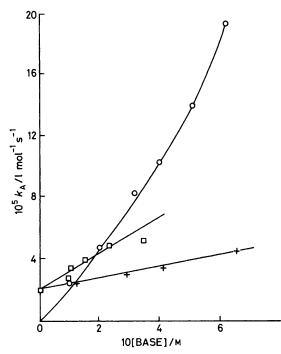


FIGURE 2 Plots of  $10^{5}k_{\rm A}$  against 10[base] for the reaction of 2,4-dinitrophenyl phenyl ether with morpholine in benzene at 30.0 °C:  $\bigcirc$ , morpholine;  $\square$ , DABCO;  $\times$ , pyridine

From Table 3, the reaction of 1-fluoro-2,4-dinitrobenzene with aniline in acetonitrile is catalysed by both DABCO and pyridine. When the nucleophile is changed to morpholine, although catalysis by DABCO is still observed, there is no catalysis by pyridine. The reaction of 2.4-dinitrophenyl phenyl ether with morpholine is catalysed by morpholine and DABCO, but not by pyridine or 4-methylpyridine, but when the nucleophile is changed to piperidine the results are a little more complicated. At low  $(8.61 \times 10^{-3} M)$  concentrations of piperidine, no catalysis is observed by pyridine and there is a curvilinear dependence on DABCO concentration as shown in Figure 3. At higher  $(2.11 \times 10^{-2} \text{M})$  piperidine concentrations no catalysis by either pyridine or DABCO is observed. This reaction is known<sup>9</sup> to be very strongly catalysed by piperidine and at high nucleophile concentrations the presence of DABCO probably leads to the condition  $k_{-1} \ll k_2 + \sum k_3[B_i]$  and catalysis is not observable.

The results in Table 3 show that in acetonitrile catalysis only occurs if the added base has a basicity either approximately the same as, or greater than, that of the nucleophile. The only apparent exception to this is the catalysis by DABCO of the reaction between 2,4-dinitrophenyl phenyl ether and piperidine which occurs at low piperidine concentration. From Table 2, however, although piperidine is over 400 times a stronger base than DABCO in water, the two amines have approximately the same basicity in acetonitrile.

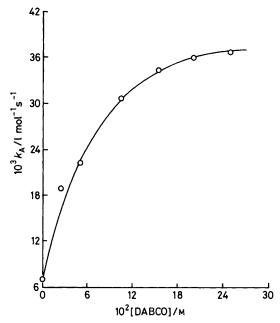


FIGURE 3 Plots of  $10^{3}k_{A}$  against  $10^{4}$ [DABCO] for the reaction of 2,4-dinitrophenyl phenyl ether with piperidine in acetonitrile at 30 °C

The results in acetonitrile are readily explicable in terms of the mechanism of the base-catalysed path given in Scheme 2. Bernasconi<sup>12</sup> has shown that the Meisenheimer complexes formed from 1,3,5-trinitrobenzene and primary and secondary amines are 100-400 times less basic than the nucleophiles from which they are derived and differences of at least this order of magnitude would be expected between (II) and the nucleophile. Hence abstraction of a proton from (I) by the nucleophile will always be thermodynamically favourable, but this is not necessarily true for the added catalyst when it is a considerably weaker base than the nucleophile. Furthermore the concentrations of the nucleophile (N) and the catalyst (C) usually employed ensures that when the equilibrium constant for the reaction  ${f CH}+{f N}$  🛹  $\dot{N}H + C$  is large, the only effective electrophilic catalyst present for the slow stage of the reaction is the conjugate acid of the nucleophile. Hence, on this mechanism, catalysis by the nucleophile and added bases whose basicity is approximately the same as or greater than that of the nucleophile will be observed but there will be no catalysis by bases whose base strength is much less than that of the nucleophile.

From the results of catalysis in benzene, this mechanism cannot apply in this solvent if the entities concerned behave as separate kinetic units, unless there is a very

drastic increase in the basicity of pyridine relative to morpholine when the solvent is changed from water or acetonitrile to benzene and an even more dramatic one relative to the basicities of piperidine and n-butylamine. In order to obtain an estimate of the relative basicities in benzene and acetonitrile the method of Bernasconi et al.13 of measuring the equilibrium constants \* for ionpair formation between picric acid and amines was employed initially. With morpholine in benzene, however, ion-pair formation went to completion so the less acidic 2,4-dinitrophenol was substituted for picric acid. The ratio of the equilibrium constants for ion-pair formation in benzene between this substrate and the amines are (cf. Experimental section)  $5 \times 10^3$ :  $5 \times 10^2$ :  $4.5 \times 10^2$ : 1 for piperidine, n-butylamine, morpholine, and pyridine, respectively. In acetonitrile the reactions of 2,4-dinitrophenol with piperidine and n-butylamine both went to completion, and with morpholine and pyridine there is some doubt as to whether the reaction results in the formation of free ions or ion pairs. There is however little difference between the relative values of the equilibrium constants calculated on the assumption of free ions  $(8.5 \times 10^3)$  or ion-pair formation  $(6.8 \times 10^3)$ . The results indicate that although there is a considerable decrease in the relative 'basicities' in benzene compared to acetonitrile the relative values of the equilibrium constants ensure that under the conditions used in these kinetic investigations, any equilibrium between pyridinium and morpholinium ions will be almost entirely in favour of the morpholinium ions. Furthermore it can now be seen that when in benzene the ratio of the basicity of the nucleophile (piperidine) to that of pyridine is  $5 \times 10^3$  then catalysis by pyridine is observed but in acetonitrile when the ratio of the basicity of the nucleophile (morpholine) to pyridine is of the same order of magnitude there is no catalysis by pyridine. We conclude that the mechanism of base catalysis given in Scheme 2 does not operate in benzene.

Although the present results exclude Scheme 2 as the mechanism of catalysis in benzene, they do not indicate what the mechanism is in this solvent. Catalysis could take place by the cyclic mechanism proposed by Capon and Rees,<sup>4</sup> or by the modification of the Bunnett mechanism which we <sup>5</sup> proposed, whereby because of the strength of electrostatic forces in solvents of low dielectric constant, reaction takes place within aggregates. In the latter mechanism catalysis by pyridine would be observed if heteroconjugation occurred between the conjugate acid of the nucleophile and pyridine, and the heteroconjugate participated in electrophilic catalysis of the departure of the leaving group.

<sup>\*</sup> The method gives results which are easily reproducible, and our value of the basicity of pyridine relative to that of dimethyl sulphoxide  $(k_{\rm py}/k_{\rm DMSO})$  in benzene of  $3.0 \times 10^3$  is in good agreement with that of  $2.9 \times 10^3$  obtained by Bernasconi *et al.* We agree with these authors however in not placing any special value on the figures as an exact estimate of the individual equilibrium constants, our interest is in the order of magnitude of the relative basicities.

#### EXPERIMENTAL

Details of the purification of the substrates, nucleophiles, and acetonitrile and the procedure for the spectrophotometric determination of the rates of formation of the products of the reactions have already been given.<sup>9,10</sup> DABCO was a commercial product recrystallised twice from benzene. Benzene was stored, with occasional shaking, over sulphuric acid for several days, then distilled from phosphorus pentaoxide and finally fractionated. Pyridine and 4-methylpyridine were stored over potassium hydroxide pellets and then distilled. 2,4-Dinitrophenol was prepared by the method of Vogel<sup>14</sup> and recrystallised twice from ethanol-water, m.p. 114-115° (lit.,<sup>14</sup> 115-116°).

Determination of the Equilibrium Constants for the Reactions of 2,4-Dinitrophenol with Amines.-(1) Benzene solutions. A solution of 2,4-dinitrophenol  $(1.576 \times 10^{-4} M)$ has an absorbance,  $A_{\rm HA}$ , of 0.322 at 360 nm in a 1 cm cuvette. In the presence of concentrations of piperidine  $>5 \times 10^{-2}$ M the phenol is completely converted into the ion pair, whose absorbance  $A_{IP}$  under exactly the same conditions is 2.170. The same concentration of the phenol in the presence of  $1.18 \times 10^{-4}$ M-piperidine,  $1.23 \times 10^{4}$ M-nbutylamine,  $9.14 \times 10^{-4}$  m-morpholine, and  $6.90 \times 10^{-1}$  mpyridine yielded absorbances, A, of 0.685, 0.382, 0.657, and 0.835. Since in the equilibrium  $HA + B \rightleftharpoons IP$ , where IP represents the formation of an ion pair,  $[IP] = [HA]_o(A A_{\rm HA}/(A_{\rm IP} - A_{\rm HA})$  where [HA]<sub>o</sub> represents the stoicheiometric concentration of the phenol, and  $[HA]_o - [IP] =$  $[HA]_e$  and  $[B]_o - [IP] = [B]_e$  where  $[HA]_e$  and  $[B]_e$  are the equilibrium concentrations of the phenol and base and [B]<sub>o</sub> the stoicheiometric concentration of the base, the equilibrium constant  $K = [IP]/[HA]_e[B]_e$  can be calculated. The values of K obtained are  $2.81 \times 10^3$ ,  $2.85 \times 10^2$ ,  $2.50 \times 10^2$ , and  $5.57 \times 10^{-1}$  for piperidine, n-butylamine, morpholine, and pyridine, giving the ratios of their basicities toward 2,4-dinitrophenol in benzene as  $5 \times 10^3$ :  $5 \times 10^2$ :  $4.5 \times 10^2$ : 1.

(2) Acetonitrile solutions. A  $1.185 \times 10^{-4}$ M solution of

the phenol in a 1 cm cuvette has an absorbance of 0.255 at 370 nm. In the presence of either  $1.346 \times 10^{-4}$  m-piperidine or  $1.194 \times 10^{-4}$  M-n-butylamine complete dissociation occurs and the solution has an absorbance of 1.580. The same concentration of the phenol in the presence of  $1.136 \times$  $10^{-4}$ M-morpholine and 0.155M-pyridine gave solutions with absorbances of 1.085 and 0.910. The assumption of ionpair formation gives values of K of  $4.26 \times 10^4$  for morpholine and 6.31 for pyridine, ratio  $6.75 \times 10^3$ : 1. If the reaction results in the formation of free ions according to the equation  $HA + B \Longrightarrow B\dot{H} + A^-$  the values of  $K_f$  defined by

 $[BH]_{e}[A]_{e}/[HA]_{e}[B]_{e}$  are 3.16 and 3.70 × 10<sup>-4</sup>, ratio  $8.54 \times 10^3$ : 1.

[1/1226 Received, 4th August, 1981]

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